

## PATENT COOPERATION TREATY

148.

From the INTERNATIONAL SEARCHING AUTHORITY

PCT

10 JUN 2005

To:  
 VENNER, SHIPLEY & CO.  
 Attn. Read, Matthew  
 20 Little Britain  
 London, Greater London WC1A 2LS  
 UNITED KINGDOM

**RECEIVED**  
 19 APR 2004

NOTIFICATION OF TRANSMITTAL OF  
THE INTERNATIONAL SEARCH REPORT  
OR THE DECLARATION

(PCT Rule 44.1)

Applicant's or agent's file reference

MCR/43072PCT

Date of mailing  
(day/month/year)

19/04/2004

International application No.

PCT/GB 03/05453

International filing date

15/12/2003

Applicant

MONEY CONTROLS LIMITED

**FOR FURTHER ACTION** See paragraphs 1 and 4 below

1.  The applicant is hereby notified that the International Search Report has been established and is transmitted herewith.

**Filing of amendments and statement under Article 19:**

The applicant is entitled, if he so wishes, to amend the claims of the International Application (see Rule 46):

When? The time limit for filing such amendments is normally 2 months from the date of transmittal of the International Search Report; however, for more details, see the notes on the accompanying sheet.

Where? Directly to the International Bureau of WIPO  
 34, chemin des Colombettes  
 1211 Geneva 20, Switzerland  
 Facsimile No.: (41-22) 740.14.35

For more detailed instructions, see the notes on the accompanying sheet.

2.  The applicant is hereby notified that no International Search Report will be established and that the declaration under Article 17(2)(a) to that effect is transmitted herewith.

3.  With regard to the protest against payment of (an) additional fee(s) under Rule 40.2, the applicant is notified that:

the protest together with the decision thereon has been transmitted to the International Bureau together with the applicant's request to forward the texts of both the protest and the decision thereon to the designated Offices.

no decision has been made yet on the protest; the applicant will be notified as soon as a decision is made.

4. Further action(s): The applicant is reminded of the following:

Shortly after 18 months from the priority date, the international application will be published by the International Bureau. If the applicant wishes to avoid or postpone publication, a notice of withdrawal of the International application, or of the priority claim, must reach the International Bureau as provided in Rules 90bis.1 and 90bis.3, respectively, before the completion of the technical preparations for International publication.

Within 19 months from the priority date, a demand for International preliminary examination must be filed if the applicant wishes to postpone the entry into the national phase until 30 months from the priority date (in some Offices even later).

Within 20 months from the priority date, the applicant must perform the prescribed acts for entry into the national phase before all designated Offices which have not been elected in the demand or in a later election within 19 months from the priority date or could not be elected because they are not bound by Chapter II.

Name and mailing address of the International Searching Authority  
  
 European Patent Office, P.B. 5818 Patentlaan 2  
 NL-2280 HV Rijswijk  
 Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
 Fax: (+31-70) 340-3016

Authorized officer

Jacinta Reddy

## NOTES TO FORM PCT/ISA/220

These Notes are intended to give the basic instructions concerning the filing of amendments under article 19. The Notes are based on the requirements of the Patent Cooperation Treaty, the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and those requirements, the latter are applicable. For more detailed information, see also the PCT Applicant's Guide, a publication of WIPO.

In these Notes, "Article", "Rule", and "Section" refer to the provisions of the PCT, the PCT Regulations and the PCT Administrative Instructions respectively.

### INSTRUCTIONS CONCERNING AMENDMENTS UNDER ARTICLE 19

The applicant has, after having received the international search report, one opportunity to amend the claims of the international application. It should however be emphasized that, since all parts of the international application (claims, description and drawings) may be amended during the international preliminary examination procedure, there is usually no need to file amendments of the claims under Article 19 except where, e.g. the applicant wants the latter to be published for the purposes of provisional protection or has another reason for amending the claims before international publication. Furthermore, it should be emphasized that provisional protection is available in some States only.

#### What parts of the International application may be amended?

Under Article 19, only the claims may be amended.

During the international phase, the claims may also be amended (or further amended) under Article 34 before the International Preliminary Examining Authority. The description and drawings may only be amended under Article 34 before the International Examining Authority.

Upon entry into the national phase, all parts of the international application may be amended under Article 28 or, where applicable, Article 41.

#### When?

Within 2 months from the date of transmittal of the international search report or 16 months from the priority date, whichever time limit expires later. It should be noted, however, that the amendments will be considered as having been received on time if they are received by the International Bureau after the expiration of the applicable time limit but before the completion of the technical preparations for international publication (Rule 46.1).

#### Where not to file the amendments?

The amendments may only be filed with the International Bureau and not with the receiving Office or the International Searching Authority (Rule 46.2).

Where a demand for international preliminary examination has been/is filed, see below.

#### How?

Either by cancelling one or more entire claims, by adding one or more new claims or by amending the text of one or more of the claims as filed.

A replacement sheet must be submitted for each sheet of the claims which, on account of an amendment or amendments, differs from the sheet originally filed.

All the claims appearing on a replacement sheet must be numbered in Arabic numerals. Where a claim is cancelled, no renumbering of the other claims is required. In all cases where claims are renumbered, they must be renumbered consecutively (Administrative Instructions, Section 205(b)).

The amendments must be made in the language in which the international application is to be published.

#### What documents must/may accompany the amendments?

Letter (Section 205(b)):

The amendments must be submitted with a letter.

The letter will not be published with the international application and the amended claims. It should not be confused with the "Statement under Article 19(1)" (see below, under "Statement under Article 19(1)").

The letter must be in English or French, at the choice of the applicant. However, if the language of the international application is English, the letter must be in English; if the language of the international application is French, the letter must be in French.

## NOTES TO FORM PCT/ISA/220 (continued)

The letter must indicate the differences between the claims as filed and the claims as amended. It must, in particular, indicate, in connection with each claim appearing in the international application (it being understood that identical indications concerning several claims may be grouped), whether

- (i) the claim is unchanged;
- (ii) the claim is cancelled;
- (iii) the claim is new;
- (iv) the claim replaces one or more claims as filed;
- (v) the claim is the result of the division of a claim as filed.

The following examples illustrate the manner in which amendments must be explained in the accompanying letter:

1. [Where originally there were 48 claims and after amendment of some claims there are 51]:  
"Claims 1 to 29, 31, 32, 34, 35, 37 to 48 replaced by amended claims bearing the same numbers; claims 30, 33 and 36 unchanged; new claims 49 to 51 added."
2. [Where originally there were 15 claims and after amendment of all claims there are 11]:  
"Claims 1 to 15 replaced by amended claims 1 to 11."
3. [Where originally there were 14 claims and the amendments consist in cancelling some claims and in adding new claims]:  
"Claims 1 to 6 and 14 unchanged; claims 7 to 13 cancelled; new claims 15, 16 and 17 added." or  
"Claims 7 to 13 cancelled; new claims 15, 16 and 17 added; all other claims unchanged."
4. [Where various kinds of amendments are made]:  
"Claims 1-10 unchanged; claims 11 to 13, 18 and 19 cancelled; claims 14, 15 and 16 replaced by amended claim 14; claim 17 subdivided into amended claims 15, 16 and 17; new claims 20 and 21 added."

### "Statement under article 19(1)" (Rule 46.4)

The amendments may be accompanied by a statement explaining the amendments and indicating any impact that such amendments might have on the description and the drawings (which cannot be amended under Article 19(1)).

The statement will be published with the international application and the amended claims.

It must be in the language in which the International application is to be published.

It must be brief, not exceeding 500 words if in English or if translated into English.

It should not be confused with and does not replace the letter indicating the differences between the claims as filed and as amended. It must be filed on a separate sheet and must be identified as such by a heading, preferably by using the words "Statement under Article 19(1)."

It may not contain any disparaging comments on the international search report or the relevance of citations contained in that report. Reference to citations, relevant to a given claim, contained in the international search report may be made only in connection with an amendment of that claim.

### Consequence if a demand for international preliminary examination has already been filed

If, at the time of filing any amendments under Article 19, a demand for international preliminary examination has already been submitted, the applicant must preferably, at the same time of filing the amendments with the International Bureau, also file a copy of such amendments with the International Preliminary Examining Authority (see Rule 62.2(a), first sentence).

### Consequence with regard to translation of the International application for entry into the national phase

The applicant's attention is drawn to the fact that, where upon entry into the national phase, a translation of the claims as amended under Article 19 may have to be furnished to the designated/elected Offices, instead of, or in addition to, the translation of the claims as filed.

For further details on the requirements of each designated/elected Office, see Volume II of the PCT Applicant's Guide.

## PATENT COOPERATION TREATY

## PCT

## INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference <b>MCR/43072PCT</b>	<b>FOR FURTHER ACTION</b> see Notification of Transmittal of International Search Report (Form PCT/ISA/220) as well as, where applicable, Item 5 below.	
International application No. <b>PCT/GB 03/05453</b>	International filing date (day/month/year) <b>15/12/2003</b>	(Earliest) Priority Date (day/month/year) <b>08/01/2003</b>
Applicant <b>MONEY CONTROLS LIMITED</b>		

This International Search Report has been prepared by this International Searching Authority and is transmitted to the applicant according to Article 18. A copy is being transmitted to the International Bureau.

This International Search Report consists of a total of 5 sheets.

It is also accompanied by a copy of each prior art document cited in this report.

**1. Basis of the report**

- a. With regard to the language, the international search was carried out on the basis of the international application in the language in which it was filed, unless otherwise indicated under this item.
  - the international search was carried out on the basis of a translation of the international application furnished to this Authority (Rule 23.1(b)).
- b. With regard to any nucleotide and/or amino acid sequence disclosed in the international application, the international search was carried out on the basis of the sequence listing :
  - contained in the international application in written form.
  - filed together with the international application in computer readable form.
  - furnished subsequently to this Authority in written form.
  - furnished subsequently to this Authority in computer readable form.
  - the statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.
  - the statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished

2.  Certain claims were found unsearchable (See Box I).

3.  Unity of invention is lacking (see Box II).

4. With regard to the title,

- the text is approved as submitted by the applicant.
- the text has been established by this Authority to read as follows:

5. With regard to the abstract,

- the text is approved as submitted by the applicant.
- the text has been established, according to Rule 38.2(b), by this Authority as it appears in Box III. The applicant may, within one month from the date of mailing of this international search report, submit comments to this Authority.

6. The figure of the drawings to be published with the abstract is Figure No.

- as suggested by the applicant.
- because the applicant failed to suggest a figure.
- because this figure better characterizes the invention.

3

None of the figures.

**INTERNATIONAL SEARCH REPORT**

International application No
PCT/GB 03/05453

**A. CLASSIFICATION OF SUBJECT MATTER**

IPC 7	G07F3/00	G07D3/00	G06N7/00	G06N5/00	G06N5/02
	G07F3/02				

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

IPC 7	G07F	G07D	G06N
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Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	EP 0 480 736 A (MARS INC) 15 April 1992 (1992-04-15) column 16, line 21 -column 20, line 16; figure 4 ---	1-26
X	US 4 538 719 A (GRAY MATTHEW H ET AL) 3 September 1985 (1985-09-03) abstract; figures 1,6,13 column 15, line 40 -column 16, line 55 ---	1-3, 14-16, 25,26 4-13, 17-24
Y	US 5 255 344 A (HAYASHI ISAO ET AL) 19 October 1993 (1993-10-19) abstract; figure 9 column 1, line 10 - line 13 column 5, line 28 -column 9, line 11 ---	4-13, 17-24
		-/-

Further documents are listed in the continuation of box C.

Patent family members are listed in annex.

\* Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the International filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search

Date of mailing of the International search report

7 April 2004

19/04/2004

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Kemény, M

## INTERNATIONAL SEARCH REPORT

International Application No
PCT/GB 03/05453

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	BUCKLEY J J ET AL: "HYBRID NEURAL NETS CAN BE FUZZY CONTROLLERS AND FUZZY EXPERT E SYSTEMS" FUZZY SETS AND SYSTEMS, ELSEVIER SCIENCE PUBLISHERS, AMSTERDAM, NL, vol. 60, no. 2, 10 December 1993 (1993-12-10), pages 135-142, XP000405982 ISSN: 0165-0114 the whole document ----	1-26
A	US 2001/009485 A1 (FURUYA YONEZO) 26 July 2001 (2001-07-26) abstract; claim 7 ----	1-26
A	US 5 355 989 A (BEST JOCHEN) 18 October 1994 (1994-10-18) abstract; figures 1,2 ----	1-26

**INTERNATIONAL SEARCH REPORT**

Information on patent family members

International Application No

PCT/GB 03/05453

Patent document cited in search report	Publication date		Patent family member(s)	Publication date
EP 0480736	A 15-04-1992	US	5167313 A	01-12-1992
		AT	137349 T	15-05-1996
		AU	662709 B2	07-09-1995
		AU	6744694 A	22-09-1994
		AU	689730 B2	02-04-1998
		AU	7407896 A	13-02-1997
		AU	678527 B2	29-05-1997
		AU	7597894 A	12-01-1995
		AU	651401 B2	21-07-1994
		AU	8947891 A	20-05-1992
		BR	9106181 A	16-03-1993
		CA	2069875 A1	11-04-1992
		CA	2194710 A1	11-04-1992
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		DE	69119021 D1	30-05-1996
		DE	69119021 T2	28-11-1996
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		EP	1156458 A2	21-11-2001
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		EP	0685826 A2	06-12-1995
		ES	2085970 T3	16-06-1996
		ES	2181736 T3	01-03-2003
		HK	1007028 A1	26-03-1999
		IE	913594 A1	22-04-1992
		JP	7272040 A	20-10-1995
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		WO	9207339 A1	30-04-1992
		US	5443144 A	22-08-1995
		US	5564548 A	15-10-1996
		US	5730272 A	24-03-1998
		US	5330041 A	19-07-1994
US 4538719	A 03-09-1985	NONE		
US 5255344	A 19-10-1993	US	RE36823 E	15-08-2000
		CN	1038713 A ,B	10-01-1990
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		DE	68928612 T2	17-09-1998
		EP	0378689 A1	25-07-1990
		EP	0813127 A2	17-12-1997
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		JP	8320792 A	03-12-1996
		WO	8911684 A1	30-11-1989
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		US	5168549 A	01-12-1992
US 2001009485	A1 26-07-2001	JP	10334300 A	18-12-1998
		JP	10340405 A	22-12-1998
		JP	11007565 A	12-01-1999
		JP	11031249 A	02-02-1999
		EP	0883092 A2	09-12-1998
		US	6216843 B1	17-04-2001
US 5355989	A 18-10-1994	DE	4121034 C1	10-09-1992

**INTERNATIONAL SEARCH REPORT**

Information on patent family members

International application No

PCT/GB 03/05453

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 5355989 A		DE 59207161 D1 EP 0520230 A1 ES 2093141 T3	24-10-1996 30-12-1992 16-12-1996

## INTERNATIONAL SEARCH REPORT

PCT/GB 03/05453

A. CLASSIFICATION OF SUBJECT MATTER					
IPC 7	G07F3/00	G07D3/00	G06N7/00	G06N5/00	G06N5/02
	G07F3/02				

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)  
IPC 7 G07F G07D G06N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal

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Y	abstract; figures 1,6,13 column 15, line 40 -column 16, line 55 --	4-13, 17-24
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 Further documents are listed in continuation of box C. Patent family members are listed in annex.

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- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

\*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

\*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

\*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

\*&\* document member of the same patent family

Date of the actual completion of the International search

7 April 2004

Date of mailing of the International search report

19/04/2004

Name and mailing address of the ISA

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Fax (+31-70) 340-3016

Authorized officer

Kemény, M

## INTERNATIONAL SEARCH REPORT

PCT/GB 03/05453

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
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PCT/GB 03/05453

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EP 0480736	A	15-04-1992	US	5167313 A	01-12-1992	
			AT	137349 T	15-05-1996	
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			CA	2069875 A1	11-04-1992	
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			CA	2194711 A1	11-04-1992	
			DE	69119021 D1	30-05-1996	
			DE	69119021 T2	28-11-1996	
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			DE	69133116 T2	16-01-2003	
			EP	1156458 A2	21-11-2001	
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			HK	1007028 A1	26-03-1999	
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			JP	5502131 T	15-04-1993	
			KR	9609519 B1	20-07-1996	
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			WO	9207339 A1	30-04-1992	
			US	5443144 A	22-08-1995	
			US	5564548 A	15-10-1996	
			US	5730272 A	24-03-1998	
			US	5330041 A	19-07-1994	
US 4538719	A	03-09-1985	NONE			
US 5255344	A	19-10-1993	US	RE36823 E	15-08-2000	
			CN	1038713 A ,B	10-01-1990	
			DE	68928612 D1	23-04-1998	
			DE	68928612 T2	17-09-1998	
			EP	0378689 A1	25-07-1990	
			EP	0813127 A2	17-12-1997	
			JP	2813567 B2	22-10-1998	
			JP	8320792 A	03-12-1996	
			WO	8911684 A1	30-11-1989	
			JP	2553725 B2	13-11-1996	
			US	5168549 A	01-12-1992	
US 2001009485	A1	26-07-2001	JP	10334300 A	18-12-1998	
			JP	10340405 A	22-12-1998	
			JP	11007565 A	12-01-1999	
			JP	11031249 A	02-02-1999	
			EP	0883092 A2	09-12-1998	
			US	6216843 B1	17-04-2001	
US 5355989	A	18-10-1994	DE	4121034 C1	10-09-1992	

**INTERNATIONAL SEARCH REPORT**

PCT/GB 03/05453

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 5355989	A	DE 59207161 D1 EP 0520230 A1 ES 2093141 T3	24-10-1996 30-12-1992 16-12-1996